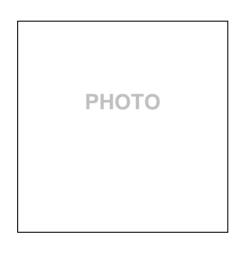


Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

Investment Mandate Instruction Form



Client's name

Please provide us with below information for KYC purposes and proper investment guidance. Please inform us immediately if your circumstances change to the extent that it might affect decisions or recommendations we make.



Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

Section 1: Policy Details

If more than 2 applicants, please use boxes on page 5

Policyholder's name:					
			N 41		
Title	Mr.	Mrs.	Miss	Dr.	
Surname					
Forename(s)					
P.O Box					
Email					
Address					
Mobile					
Fax					
Date of birth					
Place of birth					
Nationality					
TIN					
Next of Kin					
Relation to Next of					
Kin					
Mobile					
Beneficiary					
R/ship					
Address					
Mobile					



Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

Section 2: Bank Information

Bank Details	
Account Number	
Account Holder Name	
Bank	
Branch	

Section 3: Investment Mandate

Initial amount invested (Minimum of Tshs 5 million; can accept investments of lesser amount in our own discretion)	
Tick appropriate box: Cash Chec	ue Direct Fund Transfer
Section 4: Service Details	

>> Service category (Types of Accounts) please select the category of service required.

Discretionary Portfolio Service (DPS)

You appoint us, on the terms of this form, to manage your cash and investments on a discretionary basis. These are only held in your account with TSL. You authorize us, without prior reference to you, to exercise absolute discretion in the investment of such cash and investments. Taking account of your investment objective, attitude to risk and any other relevant information, your investments will be individually tailored to best match needs.

A discretionary service with the choice of three mandates explained on page 5. Please go to Section 4

Managed Portfolio Service (MPS)



You appoint us on the terms of this form to manage on advisory basis the cash and investments normally held in your account with TSL. We will accept responsibility on a continuing basis for advising on the composition of your portfolio and on the individual investments therein. Investment advice will be provided on the basis of your investment objectives, liquidity requirement and attitude to risk.

Non Managed/Execution Only Services



You appoint us, when requested, to provide you from time to time with investment advice on the basis of your investment objectives, liquidity requirements and attitude to risk. We will only execute a transaction on your behalf once we have specific instructions from you to execute the transaction. We will or we may not give any advice on the merits of the transaction.



Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

Section 5

>> Time horizon

This is the period over which an investment is made or held and time horizon in this context can range from a few months to several years. Knowing your time horizon is extremely important in terms of helping us recommend suitable types of investment. All things being equal, a short time horizon would normally indicate a high exposure to fixed interest and little or no exposure to equities. A medium to long time horizon would normally suggest a higher exposure to assets such as equities in order to protect the portfolio against inflation.



>> Risk tolerance level

Your financial circumstances will principally determine your capacity for risk and you may have to accept more than you initially anticipate in order to achieve your long-term objective. All investment involves an element of risk to capital and/or income there will be periods when the short-term return differs from the long-term objective. Please indicate below your tolerance to short –term fluctuations in capital or income.

Low – little or some tolerance to fluctuations/valuation of capital value providing current income is maintained in normal market circumstances.

Medium – some tolerance to fluctuations of capital value and/or current income in normal market circumstances.

High – a large part of the portfolio can be subject to increased risk and the possibility of significant fluctuation of capital value in order to generate potentially higher returns.

>> Asset allocation

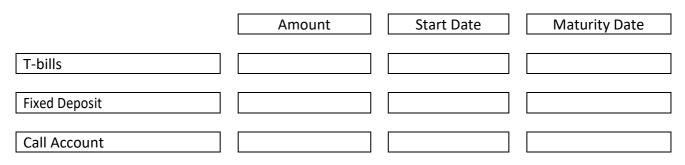
Type of Investment	Asset allocating range		
	Minimum (Amount)	Strategic	Maximum (Amount)
Equities			
Government Bonds			
Rated Corporate Bonds			
Cash equivalents			



Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

>>Investment:

For cash equivalents investment, please indicate the amount as well as the start and maturity dates:



>> Knowledge, experience and understanding

We may deal in or recommend different types of investment, and some of these may be unfamiliar. We recommend that you discuss with our investment manager who will outline various risks involved in some of these investments. Please indicate below whether you have an understanding and/or experience of investing in the following assets or complex instruments.

	None	Limited	Medium	Extensive
Collective Investment Scheme				
Private Equity Funds				
Equity Fund				
Bond Fund				



Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

Section 6

>> Confirmation of Investment Policy – To be signed by the Policyholder(s)

I/We request that the investment objectives are as set out on section 3 above. I/We confirm that all the information in this form is accurate and can be depended upon.

I/We confirm notification of the fee arrangement, charged as.....per .annum of the total funds under management, which has been agreed with the provider.

(Please note that fees are charged half yearly in arrears or agreed otherwise).

Signature & Date	
Print full name	
Print full name	
Signature & Date	
Print full name	



Jangid Plaza • 2nd Floor • Office 201 • Ali Hassan Mwinyi Road • Dar es Salaam **T:** +255 22 2926 578 • **E:** info@tanzaniasecurities.co.tz

>> Internal Use Only

Investment Mandate Sign Off:

Investment Officer	
Investment Classification	Retail Professional Institution
DPS/MPSObjective:	Growth Balanced Income
Benchmark	
Signed	Dated

Compliance

AML documentation in order